

## FAQ for the ESG Investment Category

#	Related document	Question	Answer
1	Application guidelines	May we apply for both the ESG Investment and SDGs subcategories?	Yes, both subcategories may be applied for. However, each company may only receive the award in a single subcategory.
2	Application guidelines	How many activities of a single company may be indicated in the application for the award?	Each company can indicate 2 activities for both the ESG Investment and SDGs subcategories.
3	Application guidelines	May we select multiple themes?	Up to 3 themes/goals may be selected that are related to the activity for both the ESG Investment and SDGs subcategories.
4	Application guidelines	In the case of joint projects, may we submit applications with the names of all the companies involved?	Yes, both subcategories may be applied for. However, please decide on which company will represent the others and write the name and information of that company on the application. Please include the names of the other companies and the roles they played in the field where the service overview and business model are described.
5	Application guidelines	Who are the judges?	Please click <a href="#">here</a> for details on the judges.
6	Application guidelines	From what perspectives are the screening based on?	<p>The following are the three basic criteria for the ESG Investment Subcategory.</p> <p>1) Degree to which the activity matches the relevant theme                  2) Uniqueness of the activity (Asset owner: Is the activity aimed at ESG investment stewardship and engagement, and is it unique?/ Asset manager: Are the engagement activity, promotion activity, and the fund itself unique?/ Other companies: Is the activity unique and can contribute to the promotion of ESG investments?)                  3) Achievements of each activity (are there any achievements regarding the above unique activities?)</p> <p>The following are the three basic criteria for the SDGs Subcategory:</p> <p>1) Degree to which the activity matches the relevant theme                  2) Uniqueness of the activity (is the SDGs activity unique?)                  3) Achievements of each activity (are there any achievements in the above unique activities?)</p>
7	Application guidelines	May applications be submitted even if the content is the same as that of the previous year's application?	Yes, both subcategories may be applied for. However, as described in the answer to No. 6, the uniqueness of the activities is a key aspect of the evaluation criteria. Please confirm the uniqueness and other aspects of the activity for the current fiscal year when submitting the application.
8	Application guidelines	Do all companies that applied for the award get the opportunity to present?	Plans are only for companies selected in the first stage of screening to give a presentation.
9	Application guidelines	How many companies will be giving presentations?	About 10 companies will give presentations.
10	Application guidelines	Would it pose a problem to provide materials and presentations entirely in Japanese or entirely in English?	Doing so would not pose any problems. Submitted materials and presentations can be interpreted and/or translated into both Japanese and English, so please contact the Tokyo Financial Prize Secretariat if such assistance is required. (support is only provided for Japanese and English)
11	Application guidelines	In the case of foreign companies, does the overseas representative need to participate in the award ceremony?	The relevant representative of the company in Japan may participate in the award ceremony.
12	Application form	Should only the full-time employees of our company count for the "number of employees"?	Yes, please write the number of full-time employees.
13	Application form	Should annual sales be specified with specific periods and currencies (JPY or USD)?	Please treat a single year as beginning in April and ending in March. Please select JPY or USD for the currency.
14	Application form	May activities that are already completed be described in the application?	Yes. First, please ensure that implemented activities are described. In addition, including additional information about activities in planning stages is also permitted.
15	Application form	Should the location of implementation for activities be limited to Tokyo?	Locations do not need to be limited to Tokyo. However, for activities to be implemented globally, please confirm within your company whether they can be disclosed publicly. Also please describe how the activity relates to and how it may impact Tokyo.
16	Application form	Can financial and trust products that are the target for investment also be described as ESG investments?	Loans and insurance are also ESG financial products, so there is no problem with describing them as relevant services.
17	Application form	What sort of associations/organizations may we describe as those that we are members of?	Please record the names of associations/organizations that are related to ESG investment or SDGs management.
18	Application form	May we apply for the award if we are unable to participate in the award ceremony?	No, companies that are unable to participate in the award ceremony may not submit applications. Since such participation is mandatory, please confirm your company's ability to attend the ceremony before submitting an application. However, due to the circumstances surrounding COVID-19, some companies may be permitted to attend the ceremony virtually. A separate announcement will be provided with <a href="#">details about the award ceremony</a> .
19	Application form	What should be done if the contents of an application must change after it has already been submitted? (e.g., attendees to the award ceremony)	Please contact the secretariat of the Tokyo Financial Award: <a href="mailto:tokyo.financial.award@jp.ey.com">tokyo.financial.award@jp.ey.com</a> . Please contact the TFA secretariat with particular urgency if it relates to the details of the registered company and/or the details of the activity.